



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

44
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Applicant: Mark P. Bendett

Title: APPARATUS AND METHOD FOR INTEGRATED PHOTONIC DEVICES HAVING
HIGH-PERFORMANCE WAVEGUIDES AND MULTICOMPOSITIONAL SUBSTRATES

Docket No.: 1014.010US1
Filed: November 27, 2001
Examiner: Unknown

Serial No.: 09/995,407
Due Date: March 3, 2002
Group Art Unit: Unknown

COPY OF PAPERS
ORIGINALLY FILED

Attn: Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

We are transmitting herewith the attached:

- ☒ A return postcard.
- ☒ A check in the amount of \$65.00 to cover the Missing Parts Fee.
- ☒ A check in the amount of \$502.00 to cover the Basic Filing Fee and Additional Claims Fee.
- ☒ Communication Re: Missing Parts (1 pg.)
- ☒ A signed Combined Declaration and Power of Attorney (3 pgs.).
- ☒ Notice to File Missing Parts (2pg.)
- ☒ Communication Re: Corrected Application Papers (1 pg.)
- ☒ Formal Drawings (25 pgs.).

Applicant claims small entity status under 37 C.F.R. 1.27.

If not provided for in a separate paper filed herewith, please consider this a PETITION FOR EXTENSION OF TIME for sufficient number of months to enter these papers and please charge any additional required fees or credit overpayment to Deposit Account No. 19-0743.

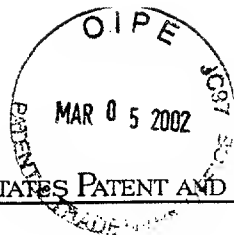
SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
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By: Charles A. Lemaire
Name: Charles A. Lemaire
Reg. No. 36,198
CAL:CMG:sc

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Attn: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on this 19th day of February, 2002.

Seamattie Creg
Name

Seamattie Creg
Signature



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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/995,407	11/27/2001	Mark P. Bendett	1014.010US1

CONFIRMATION NO. 1933

21186

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FORMALITIES LETTER



OC000000007263763

✓
Msg Pts Due 3-3-02
Stat 8-3-02

Date Mailed: 01/03/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

03/08/2002 BNGUYEN1 00000093 09995407

FILED UNDER 37 CFR 1.53(b)

01 FC-201	370.00 OP
02 FC-203	90.00 OP
03 FC-202	42.00 OP
04 FC-205	65.00 OP

Filing Date Granted

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An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$132.
 - \$90 for 10 total claims over 20.
 - \$42 for 1 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 567.

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JAN 09 2001

Schwegman, Lundberg
Woessner & Kluth, P.A.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
 - Drawings must be reasonably free from erasures and must be free from alterations, overwritings, interlineations, folds, and copy marks.

✓
Corrected Papers
Due 3-3-02
Stat 8-3-02

A copy of this notice MUST be returned with the reply.

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Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

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